

Practitioner's Docket No. 2002DE115

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Franz-Leo Heinrichs

Application No.: 10/516,929

Group No.:

Filed: December 3, 2004

For: Side-Chain-Modified Copolymer Waxes

**Commissioner for Patent**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

## INFORMATION DISCLOSURE STATEMENT

**CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\***  
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## 37 C.F.R. section 1.8(a)

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1.  Enclosures accompanying this Information Disclosure Statement are:
  - 1a.  Form PTO-1449.
  - 1b.  Copies of Information Disclosure Statement citations.
  - 1c.  PCT Search Report
  - 1d.  Copies of Co-pending U.S. Applications.
2.  This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3.  This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4.  This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5.  This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6.  This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

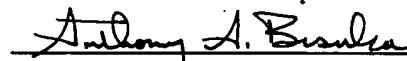
Applicant Franz-Leo Heinrichs

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7.  The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8.  In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,

  
\_\_\_\_\_  
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FORM PTO-1449 U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 2002DE115	SERIAL NO. 10/516,929
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(37 CFR 1.98(b))			
		APPLICANT: Franz-Leo Heinrichs	
		FILING DATE: 12/3/2004 GROUP:	

## U. S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Dte	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4413096	11/1/83	Fu et al.			
	AB	3056764	10/2/62	Graham et al.			
	AC	5306437	4/26/94	Heinrichs et al.			
	AD	4743660	5/10/88	Danner et al.			
	AE	4885325	12/5/89	Danner et al.			
	AF						
	AG						

## FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION

		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BA	WO 0174911	10/11/01	WIPO			English
	BB	DE 1138939	10/31/62	Germany			See AB above
	BC	FR 2826454	12/27/02	France			English Abstract
	BD	DE 4139601	6/9/93	Germany			See AC above
	BE						
	BF						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

	CA	PCT ISR for PCT/EP03/05671, mailed 9/11/2003
	CB	English Translation of IPER for PCT/EP03/05671, 9/10/2004
	CC	
	CD	
	CE	
	CF	
	CG	
	CH	
	CI	

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.